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Section 1: Company Information

Name of Company	

(The remainder of this page is intentionally blank)

Section 2: Equity Interests of University Members and Immediate Family

To include family members of a University employee. **Family member** : includes spouse, son/daughter, grandson/granddaughter, parent, grandparent, sibling, niece, nephew, aunt, uncle, cousin, and in-laws or step relations in those capacities; any person living in the employee's household; any person, regardless of their legal residence or domicile who receives 50% or more of their support from the employee (or employee's spouse); or any person who resided with the employee (or their spouse) for more than 180 days over the past year.

Provide the full name, title, department affiliation, email, and phone number of all University employees and family members who will have an equity interest, including stock options or warrants, in the company. In addition, please provide the name of the direct supervisor of all University employees who will have an equity interest in the company. Add additional sections as needed.

Section 4: Consulting

Provide the name, title, department, email address, phone number, and name of direct supervisor of university employees who will be performing consulting services (such as serving on the Scientific Advisory Board) for the company with or without compensation. In the field provided, please describe proposed role and anticipated time commitments. Add or remove additional sections as needed.

If a person listed below will receive compensation for service and/or is signing a formal contract with the company for his/her service, please type "Yes" in the applicable field. Do not provide compensation amounts

Name	
University Title	
University Department	
University	

Section 6: Business Plan / Scope of Work

Provide a description of products or services to be developed / sold by the company, including information on the current development status of the intellectual property (IP) to be optioned/licensed from the University, and sources of current and pending or proposed funding.

Section 7: Existing or Proposed Company Sponsored Research

Provide abstracts for any existing or proposed research projects to be sponsored by the company at the University (including those funded by SBIRs/STTRs). Describe the participation of any University employees in this research and include IRB or IACUC protocol numbers as applicable. You may provide this information as a separate attachment.

Section 8: Other Research Support

List all other research support funded

Section 9: Public Health Service (PHS) Research Support

For each University employee or student who has a financial or fiduciary relationship with the company, provide a complete list of awards from PHS agencies not listed under section 8 that support their University activities.

Include only grants and contracts from the agencies or offices listed below:

- Agency for Healthcare Research and Quality (AHRQ)
- Agency for Toxic Substances and Disease Registry (ATSDR)
- Centers for Disease Control and Prevention (CDC)
-

Section 10: Signatures

All University employees who will be taking equity in or holding fiduciary positions with the company :

By signing below, I certify that the information contained herein is accurate and complete to the best of my knowledge.

Signature

Date (MM/DD/YYYY)

Printed Name

Signature

Date (MM/DD/YYYY)

Printed Name

Signature

Date (MM/DD/YYYY)

Printed Name